Client Categorisation (Retail to Professional)

I wish to be treated as a Professional Client (Restrictions Apply) by First Prudential Markets Ltd ("the Company") (check one of the below):

☐ Generally (i.e. for all investment service/transaction or type of transaction/product)

☐ For a particular investment service/transaction or type of transaction/product

Please describe: ……

FITNESS TEST

In order to become a Professional Client you need to pass the Fitness Test. This means that you need to provide FP Markets with evidence on at least two (2) of the following:

1. You have carried out transactions, in significant size (i.e. 1 Lot), on the relevant market at an average frequency of ten (10) per quarter over the previous four (4) quarters;
2. The size of your financial instrument portfolio, defined as including cash deposits and financial instruments exceeds 500.000 Euros;
3. You work or have worked in the financial sector for at least one (1) year in a professional position, which requires knowledge of the transactions or services envisaged (In the case of small entities, the person subject to the above assessment should be the person authorised to carry out transactions on behalf of the entity).

WARNING

Please note that by changing your status from Retail to Professional Client, you lose some of your protection and investor compensation rights. The list below is a summary of those rights. For detailed description of the protection rights please see Section 7 of the Client Categorisation Policy accessible at the Legal Documents section (hyperlink).

- You will be given Less Disclosures with regards to the Company/services etc. (**Section 7.1 (a) of Client Categorisation Policy**)
- You will not be given warnings regarding the appropriateness of a product/service (**Section 7.1 (b) of Client Categorisation Policy**)
- Best execution terms changing (**Section 7.1 (c) of Client Categorisation Policy**)
- The Company must inform Retail Clients of material difficulties relevant to the proper carrying out of their order(s) promptly upon becoming aware of the difficulty (**Section 7.1 (d) of Client Categorisation Policy**)
- You will not be provided with a summary of the total costs you incur (**Section 7.1 (e) of Client Categorisation Policy**)
- You will not be entitled to compensation under Investor Compensation Fund (ICF) (**Section 7.1 (f) of Client Categorisation Policy**)
- Changes in title transfer financial collateral arrangements (**Section 7.1 (h) of Client Categorisation Policy**)
- Professional Clients and/or Eligible Counterparties will not be provided with NBP (**Section 7.1 (i) of Client Categorisation Policy**)

DECLARATION

I, Mr. / Ms. …… holder of MT4 / MT5 Trading Account(s) with No. …… …… wish to inform you that I choose to be treated as a Professional Client and declare that I have read and understood the Company's Client categorisation policy and that I am aware of the consequences of losing my protection rights.

……	……	……
Full Name	**Date**	**Signature**